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CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: January 6, 2000



Claudia Bader

(Type or print name of person mailing paper)

Claudia Bader

(Signature of person mailing paper)

2926R-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

James N. Vinci

Serial No. 09/432,539

Filed: November 3, 1999

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Group Art Unit: 1721

Examiner:

For: LUBRICANTS HAVING OVERBASED METAL SALTS AND ORGANIC PHOSPHITES

RESPONSE

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This paper is responsive to the Notice To File Missing Parts mailed December 2, 1999, in the application noted above. A copy of such Notice is enclosed herewith. Also enclosed is an executed Combined Declaration and Power of Attorney and an Assignment along with an Assignment Recordation Cover Sheet. Applicant respectfully requests recordation of the Assignment. Please charge the following fees to Deposit Account No. 12-2275. (\$130.00 for the late fee surcharge; and \$40.00 for the Assignment recordal fee).

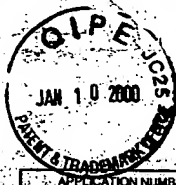
The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Deposit Account No. 12-2275; 37 CFR 1.16(a), (f) or (g) (filing fees); 37 CFR 1.16(b), (c) and (d) (presentation of extra claims); 37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application); and 37 CFR 1.17 (application processing fees).

Respectfully submitted,

RENNER, OTTO, BOISSELLE & SKLAR, P.L.L.

By William C. Tritt  
William C. Tritt  
Reg. No. 32,510

1621 Euclid Avenue  
Nineteenth Floor  
Cleveland, Ohio 44115  
(216) 621-1113



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER: 09/432,053 FILING/RECEIPT DATE: 11/03/99 FIRST NAMED APPLICANT: VINCI ATTORNEY DOCKET NO./TITLE: E-44645242791

WILLIAM C TRITT  
THE LUBRIZOL CORPORATION  
29400 LAKELAND BOULEVARD  
WICKLIFFE OH 44092-2298

NOT ASSIGNED

1721

DATE MAILED:

12/02/99

### NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☒ small entity (statement filed) ☐ non-small entity is \$ 130

☐ 1. The statutory basic filing fee is:

- ☐ missing  
☐ insufficient

Applicant must submit \$ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ for total claims over 20.

\$ for independent claims over 3.

\$ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

FORM PTO-1533 (REV. 9/98)

PART 2 - COPY TO BE RETURNED WITH RESPONSE

U.S. GPO 1996 450-5975

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